

Rulings Panel Quarterly Report – July to September 2022

**Pursuant to Regulation 114 of the Electricity Industry (Enforcement)
Regulations 2010**

Regulation	Report Criteria	Rulings Panel Report
114(a)	a summary of the decisions made by the Rulings Panel during that quarter, including details of all awards of costs and compensation	<p>Decision of 28 August 2022: <i>Electricity Authority v Transpower</i> [2022] C-2022-001 (decided following a penalty hearing).</p> <p>Finding: Transpower breached clause 4(4)(a) of Technical Code A of schedule 8.3 of the Electricity Industry Participation Code 2010.</p> <p>Pecuniary Penalty: Transpower was ordered to pay a pecuniary penalty of \$70,000 to the Crown.</p> <p>Costs: Transpower was ordered to pay the Electricity Authority the sum of \$12,415 in costs.</p> <p>Decision of 7 September 2022: <i>Electricity Authority v Transpower</i> [2022] C-2021-001 (decided on the papers).</p> <p>Finding: Transpower breached Clause 13.141(2) of the Electricity Industry Participation Code 2010.</p> <p>Order: Transpower was publicly reprimanded for the breach.</p>
114(b)	a summary of the current workload of the Rulings Panel, ability to meet performance objectives, and resources	<p>Workload: Two matters are with the Panel: <i>Electricity Authority v Transpower New Zealand Limited</i> (C-2021-001).</p> <p>Memoranda as regards costs to be filed and a decision on costs to be made. Once issued, the matter will be at an end.</p>

Regulation	Report Criteria	Rulings Panel Report
		<p><i>Electricity Authority v Transpower New Zealand Limited (C-2022-002).</i></p> <p>Notice of Formal Complaint filed 19 April 2022. Regulation 33 Notice issued.</p> <p>Revised directions issued. Directions Conference is now scheduled for 25 November 2022.</p> <p>Ability to meet Performance Objectives:</p> <p>The Panel has four members. The maximum membership is five, and a quorum is three. One member is now residing outside of New Zealand.</p>
114(c)	information about breaches, and alleged breaches, of the Code during that quarter that may affect common quality and grid security	Nil
114(d)	any other matters of concern	No matters of concern.



M Orange
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8 October 2022